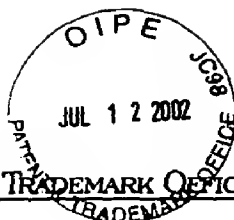




UNITED STATES PATENT AND TRADEMARK OFFICE



COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/995,659	11/29/2001	James M. Robl	P 0277145

00909
PILLSBURY WINTHROP LLP
1600 TYSONS BOULEVARD
MCLEAN, VA 22102

CONFIRMATION NO. 2628

FORMALITIES LETTER

OC000000007184207

Date Mailed: 12/12/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**

*A copy of this notice **MUST** be returned with the reply.*

41

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

07/17/2002 RDSMAW1 00000051 033975 09995659

01 FC:205 65.00 CH

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

FILING COMPLETION UNDER RULE 53(f)

(NOT PCT Applications)
For Design, Provisional, or Utility ApplicationsPATENT
APPLICATION**COMPLETION Under**
Rule 53(f)

Attn: Application Division

In re PATENT APPLICATION of

Inventor(s): Robl et al.

Appln. No.: 09/ 995,659
Series Code \uparrow Serial No. \uparrow Atty.Dkt. P 277145
M# Client Ref

Filed: November 29, 2001

Title: Gynogenetic or Androgenetic Production of Pluripotent Cells and Cell Lines, and Use Thereof to Produce Differentiated Cells and Tissues

Hon. Commissioner of Patents
Washington, DC 20231

Date: July 12, 2002

Sir:

The following completes the filing under Rule 53(f) of the above-identified patent application:

1. **Notice to File Missing Parts** ☒ copy attached ☐ not yet received
2. ☒ Signed Declaration attached. ☐ Original ☒ Facsimile/Copy

(Always "X" box 2 if filing signed Declaration and

"X" box 2A only if top box of the Declaration is X'd and file application copy, or"X" box 2B only if none of the top three boxes of the Declaration is X'd.)

- 2A. ☐ Attached: Original signed Declaration with attached specification (including claim(s)) which is a copy of specification and claim(s) originally filed to secure the above filing date.
- 2B. ☒ The original application as filed in the PTO on the above filing date is the application which each inventor executed by signing the attached Rule 63 Declaration.
3. ☐ Specification originally filed in non-English language; hence verified translation attached of:
- a. ☐ Abstract
- b. # pages of Specification (only spec. & claims)
- c. ☐ Drawing(s) No of Sheets
- ☐ Fig(s).
4. ☐ Letter filing formal drawing attached.
5. ☐ Attached is an assignment and cover sheet. Please return the recorded assignment to the undersigned.
6. DOMESTIC/INTERNATIONAL priority is claimed under 35 USC 119(e)/120/365(c) based on the following provisional, nonprovisional and/or PCT international application(s):

Application No.	Filing Date	Application No.	Filing Date
(1) 60/161,987	28 October 1999	(2) 09/697,297	27 October 2000
(3)		(4)	
(5)		(6)	

7. FOREIGN priority is claimed under 35 USC 119(a)-(d)/365(b) based on filing in

Application No.	Filing Date	Application No.	Filing Date
(1)		(2)	
(3)		(4)	
(5)		(6)	

07/17/2002 RDSKXN1 00000051 033975 09995659

02 FC:228 980.00 CH

30301292_1

PAT-106 5/02

Completion Under Rule 53(f)

9. _____ (No.) Certified copy (copies): ☐ attached; ☐ previously filed (date) _____
in U.S. Application No. _____ / _____ filed on _____
10. Small Entity Status → ☐ is Not claimed ☒ is claimed (file PAT-256 if this is the first claim of Small Entity Status)
11. ☐ Attached:
12. ☐ Please see the attached Preliminary Amendment which reduces the number of claims for purposes of reducing the initial filing fee.

**THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY
CHANGED BY PRELIMINARY AMENDMENT PER ITEM 12 ABOVE**

			Large/Small Entity		Fee Code
13. Basic Filing Fee Design Application			\$330/\$165		106/26
Not Design Application			\$740/\$370	+0	101/201
14. Total Effective Claims		minus 20 =	x \$18/\$9	+0	103/203
15. Independent Claims		minus 3 =	x \$84/\$42	+0	102/202
16. If <u>any</u> proper multiple dependent claim (ignore improper) is present, (Leave this line blank if this is a reissue application)			\$280/\$140	+0	104/204
17. Surcharge for filing Declaration/filing fee late			\$130/\$65	+65	105/205
18. FILING FEE =			\$65		
19. Original due date: February 12, 2002					
20. Petition is hereby made to extend the <u>original</u> due date to			(1 mo) \$110/\$55 =	+980	115/215
cover the date this response is filed for which the requisite fee			(2mos) \$400/\$200 =		116/216
is attached			(3mos) \$920/\$460 =		117/217
			(4mos) \$1,440/\$720 =		118/218
			(5mos) \$1960/\$980		128/228
21. If "non-English" box 3 is X'd, add Rule 17(k) processing fee			\$130	+0	139
22. If "assignment" box 5 is X'd, add recording fee.			\$40	+0	581
23. Petition Fee for			\$130	+0	
24. TOTAL FEE =			\$1,045.00		
			PLEASE CHARGE OUR DEP. ACCT.		

Our Deposit Account No. 03-3975

Our Order No. 015837

0277145

C#

M#

CHARGE STATEMENT: The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficiencies only) now or hereafter relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order Nos. shown in the heading hereof for which purpose a duplicate copy of this sheet is attached. This **CHARGE STATEMENT** does not authorize charge of the issue fee until/unless an issue fee transmittal form is filed.

Pillsbury Winthrop LLP
Intellectual Property Group
 By Atty: **Robin L. Teskin**

Reg. No. 35,030

1600 Tysons Boulevard
 McLean, VA 22102
 Tel: (703) 905-2000

Sig:

Fax: (703) 905-2500
 Tel: (703) 905-2200

Atty/Sec: RLT/lak

NOTE: File in duplicate with PTO receipt (PAT-103A) and attachments